

# What Works? Identifying and scaling up successful innovations in energy regulatory decision-making

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POSITIVE ENERGY

CANADA'S ENERGY FUTURE IN AN  
AGE OF CLIMATE CHANGE

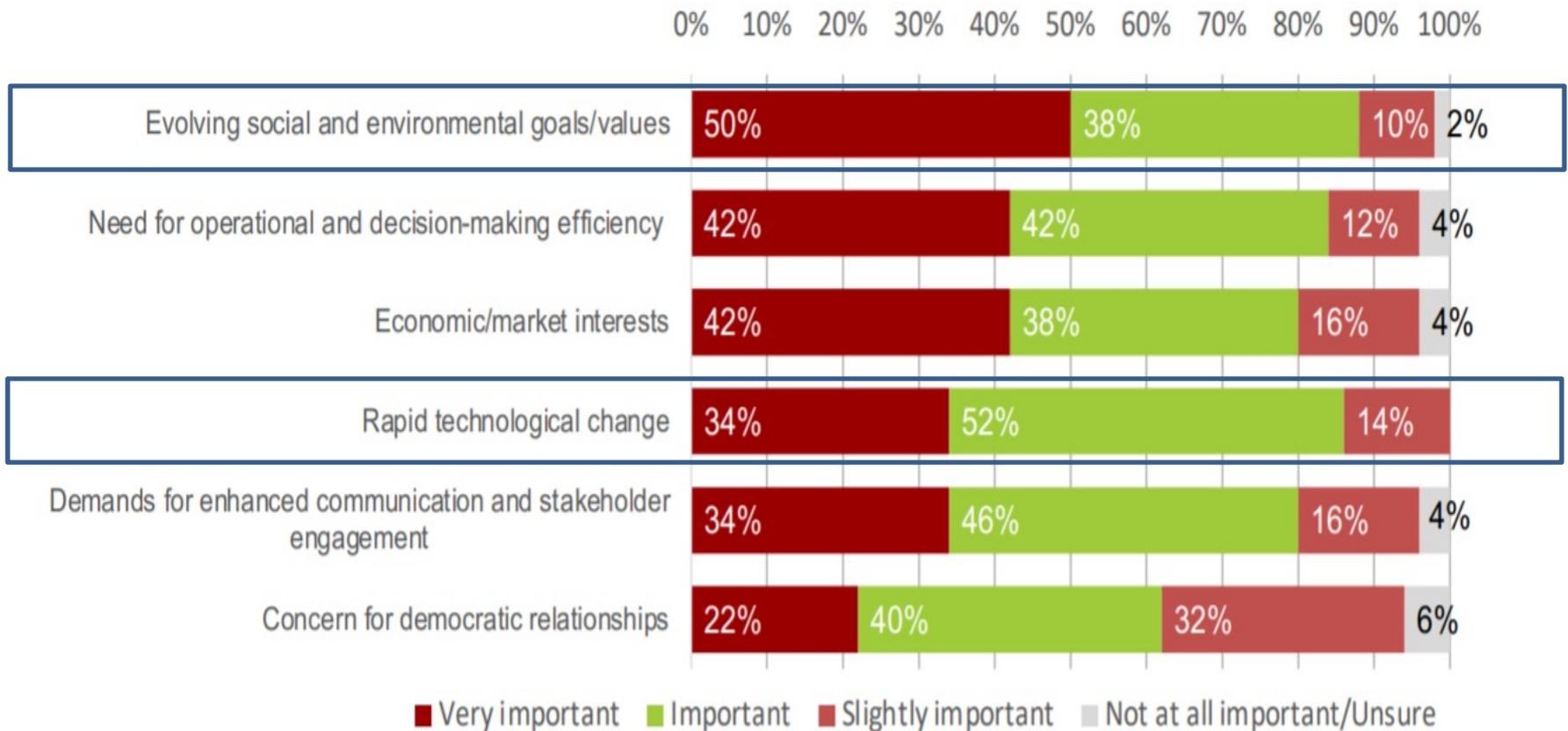


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# Overview of two steps

- 1. Online survey** – Identified drivers for energy regulators' innovation broadly, as well as for the policymaker/regulator interactions and regulator public engagement
- 2. Case studies**
  1. How might formal policymaker-regulator interactions be strengthened while maintaining regulatory independence?
  2. What innovative mechanisms support meaningful regulator public engagement?

# Relative importance of drivers of innovation



# Findings – Use of Formal Agreements in Policymaker/Regulator Interaction

## 1. Benefits

- Demonstrated commitment
- Mutual assistance
- Improved communication
- Stakeholder interests

## 2. Barriers

- Leadership and other actors
- Priorities, capacity, resources

**Success factors concern each party individually as well as organizations working together**

# Questions regulator might ask to assess or promote progress?

## 1. Role of senior executives in signalling commitment

- Does the leadership culture support increased collaboration and cooperation within the legislative mandates?

## 2. Agreed intention and goal setting

- Under the formal agreement, can we move further along the interaction “continuum”?

## 3. Clarity and understanding for priorities

- Do we know / what is the long-term policy objective for the energy market system?

## 4. Clear roles and responsibilities

- What will be the impact of leadership and/or government change?

# Findings – Regulator public engagement

## Trade-offs

1. The benefit of an open process raised concern about uncertainty and longer timelines
2. The benefit of taking a systems-based perspective raised concern about reduced clarity for the purpose of the process
3. The benefit of having diverse participants raised concern about their capacity and resources

# Questions regulator might ask to assess or promote progress?

## 1. Process – Provide a vision and objective

- What is the (policy-related) purpose; Is this clear to stakeholders?

## 2. Content – Encourage openness and transparency

- Do we have an internal policy for openness and transparency?

## 3. Representation - Diversity

- Are Indigenous groups and policymakers invited and involved?

## 4. Reporting - Demonstrate how information is used or not in reaching conclusions

- Does reporting include “what was heard” and how participants’ input is being addressed (or not)?

